

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>36-1860</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/509089</b> To be assigned
INTERNATIONAL APPLICATION NO. <b>PCT/GB03/01202</b>	INTERNATIONAL FILING DATE <b>21 March 2003</b>	PRIORITY DATE CLAIMED <b>28 March 2002</b>
TITLE OF INVENTION <b>SECURE REMOTE CONTROL</b>		
APPLICANT(S) FOR DO/EO/US <b>HERON, A. et al.</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto (21 pages specification, claims &amp; abstract (31 claims), 10 sheets drawings).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation             <ol style="list-style-type: none"> <li><input type="checkbox"/> of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings,      page Certificate of Translation).</li> <li><input type="checkbox"/> of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</li> </ol> </li> <li>See item 6.b. above. <b>Items 11 To 20 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information. International Search Report and PTO Form 1449</li> </ol> </li> </ol>		

DT04 Rec'd PCT/PTO 28 SEP 2004

U.S. APPLICATION NO. (If known, specify C.F.R. 1.51) <b>To be assigned</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB03/01202</b>		ATTORNEY'S DOCKET NUMBER <b>36-1860</b>	
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21. <input checked="" type="checkbox"/> The following fees are submitted:					<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO..... \$770.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>					<div style="text-align: right;">\$      920.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					<div style="text-align: right;">\$      0.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	31	minus 20 =	11	X	\$18.00	
Independent Claims	4	minus 3 =	1	X	\$86.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$290.00	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months)					\$      0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$    1204.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					0.00	
<b>SUBTOTAL =</b>					<b>\$    1204.00</b>	
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00	
<b>TOTAL NATIONAL FEE =</b>					<b>\$    1204.00</b>	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property					+ \$      40.00	
Fee for Petition to Revive Unintentionally Abandoned Application (\$1330.00 - Small Entity = \$665.00)					\$      0.00	
<b>TOTAL FEES ENCLOSED =</b>					<b>\$    1244.00</b>	
					Amount to be:	
					refunded    \$	
					Charged      \$	

a. ☒ A check in the amount of \$1244.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.

d. ☒ The entire content of International Application No. **PCT/GB03/01202** and any U.S. and foreign application(s) corresponding thereto, and EP 02252324.5 and GB 0229831.3, referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**CORRESPONDENCE ADDRESS**  
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**25,640**  
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**September 28, 2004**  
 Date

# Nixon & Vanderhye PC.

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September 28, 2004

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**VIA FACSIMILE/THEN CONFIRMATION BY AIR MAIL**

Mr. Mark Watson  
Formalities Manager  
**BRITISH TELECOMMUNICATIONS plc**  
Intellectual Property Department  
PPC5A, BT Centre  
81 Newgate Street  
London EC1A 7AJ, England

**Subject:** New U.S. Application of: HERON, A. et al.  
Corresponding to: PCT/GB03/01202  
Filed: 21 March 2003 (priority 28 March 2002)  
Your Ref: A30177 USw; Our Ref: 36-1860

Dear Mark:

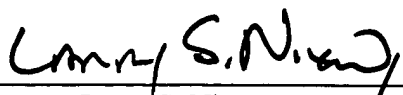
This will confirm that we have now filed the national phase application of the subject application in the USPTO on September 28, 2004 together with a Preliminary Amendment, an Information Disclosure Statement and an assignment in favor of British Telecommunications public limited company. The formal filing receipt will follow in due course.

We take this opportunity to remind you that under U.S. law, it is the duty of the applicant(s) and all others involved in the preparation or prosecution of a U.S. patent application, to promptly disclose to the U.S. Patent and Trademark Office any relevant matters known to them, including closely related patents, publications, pending applications, public uses and sales, which are material to the patentability of the claimed invention. If such matters are not disclosed within (a) three months after filing or (b) before the first Official Action or (c) within three months after first learning of such information, an extra PTO fee of \$180 must be paid. Please, therefore, immediately provide us with copies of any such information plus a concise explanation of its relevance to the claimed invention if other than in the English language. This is a continuing duty and should relevant information, such as pertinent references newly cited in corresponding application(s) filed in other countries, become known in the future, we should be promptly notified.

With kindest personal regards,

Very truly yours,

**NIXON & VANDERHYE P.C.**

  
\_\_\_\_\_  
Larry S. Nixon

LSN:ecb

Enclosures - w/confirmation copy sent via air mail